STIC Biotechnology Systems Branch

RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 0/550, 5254Source: 160/12/06

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 4.4.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (http://www.uspto.gov/ebc/efs/downloads/documents.htm, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- 3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05): U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street, Alexandria, VA 22314

Revised 01/10/06

ERROR DETECTED	SUGGESTED CORRECTION SERIAL NUMBER: 10/550, 525A
ATTN: NEW RULES CASES:	PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE
lWrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.
3Misaligned Amino Numbering	The numbering under each 5 th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
5Variable Length	Sequence(s) contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
6PatentIn 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
7Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.
(NEW RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000
	Use of n's and/or Xaa's have been detected in the Sequence Listing. Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
10Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence. (see item 11 below)
Use of <220>	Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown. Please explain source of genetic material in <220> to <223> section or use "chemically synthesized" as explanation. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32), also Sec. 1.823 of Sequence Rules
	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
13 Misuse of n/Xaa	"n" can only represent a single nucleotide; "Xaa" can only represent a single amino acid



IFWP

RAW SEQUENCE LISTING DATE: 10/12/2006
PATENT APPLICATION: US/10/550,525A TIME: 09:36:42

Input Set : A:\Sequence listing.ST25.txt
Output Set: N:\CRF4\10122006\J550525A.raw

```
3 <110> APPLICANT: Emory University
      5 <120> TITLE OF INVENTION: CXCR4 Antagonists and Methods of Their Use
      7 <130> FILE REFERENCE: 50508-2331
C--> 9 <140> CURRENT APPLICATION NUMBER: US/10/550,525A
                                                              see pr 1-2,4,6
C--> 10 <141> CURRENT FILING DATE: 2005-09-22
     12 <160> NUMBER OF SEQ ID NOS: 20
     14 <170> SOFTWARE: PatentIn version 3.3
     16 <210> SEQ ID NO: 1
     17 <211> LENGTH: 14
                                                                Does Not Comply
     18 <212> TYPE: PRT
                                                               Corrected Diskette Needed
                                                   please
quie à
further description
(e.g., source of genetici
(e.g., source of genetici
furction, etc.)

sel item/on
Sel item/on

Sheet
     19 <213> ORGANISM: Artificial
     21 <220> FEATURE:
     22 <223> OTHER INFORMATION: sequence of /T140
     25 <220> FEATURE:
     26 <221> NAME/KEY: MISC FEATURE
     27 <222> LOCATION: (3)..(3)
     28 <223> OTHER INFORMATION: X = Nal
     30 <220> FEATURE:
     31 <221> NAME/KEY: MISC FEATURE
     32 <222> LOCATION: (8)..(8)
     33 <223> OTHER INFORMATION: X = dLys
     35 <220> FEATURE:
     36 <221> NAME/KEY: MISC FEATURE
     37 <222> LOCATION: (12)..(12)
     38 <223> OTHER INFORMATION: X = Cit
     40 <400> SEQUENCE: 1
W--> 42 Arg Arg Xaa Cys Tyr Arg Lys Xaa Pro Tyr Arg Xaa Cys Arg
     43 1
     46 <210> SEQ ID NO: 2
     47 <211> LENGTH: 14
     48 <212> TYPE: PRT
     49 <213> ORGANISM: Artificial
     51 <220> FEATURE:
     52 <223> OTHER INFORMATION: sequence of TN14003
     55 <220> FEATURE:
     56 <221> NAME/KEY: MISC FEATURE
     57 <222> LOCATION: (3)..(3)
     58 <223> OTHER INFORMATION: X = Nal
     60 <220> FEATURE:
     61 <221> NAME/KEY: MISC FEATURE
     62 <222> LOCATION: (6)..(6)
     63 <223> OTHER INFORMATION: X = Cit
```

65 <220> FEATURE:

DATE: 10/12/2006

TIME: 09:36:42

Input Set : A:\Sequence listing.ST25.txt Output Set: N:\CRF4\10122006\J550525A.raw 66 <221> NAME/KEY: MISC FEATURE 67 <222> LOCATION: (8)..(8) 68 <223> OTHER INFORMATION: X = dLys 70 <220> FEATURE: 71 <221> NAME/KEY: MISC_FEATURE 72 <222> LOCATION: (12)..(12) 73 <223> OTHER INFORMATION: X = Cit 75 <400> SEQUENCE: 2 W--> 77 Arg Arg Xaa Cys Tyr Xaa Lys Xaa Pro Tyr Arg Xaa Cys Arg ·78 1 81 <210> SEQ ID NO: 3 82 <211> LENGTH: 18 83 <212> TYPE: PRT 84 <213> ORGANISM: Artificial 86 <220> FEATURE: 87 <223> OTHER INFORMATION: sequence of T22 89 <400> SEQUENCE: 3 91 Arg Arg Trp Cys Tyr Arg Lys Cys Tyr Lys Gly Tyr Cys Tyr Arg Lys 92 1 5 10 95 Cys Arg 99 <210> SEQ ID NO: 4 100 <211> LENGTH: 21 101 <212> TYPE: DNA 102 <213> ORGANISM: Artificial 104 <220> FEATURE: 105 <223> OTHER INFORMATION: cDNA sequence segments of CXCR4 107 <400> SEQUENCE: 4 108 aataaaatct tcctgcccac c 21 111 <210> SEQ ID NO: 5 112 <211> LENGTH: 21 113 <212> TYPE: DNA 114 <213> ORGANISM: Artificial 116 <220> FEATURE: 117 <223> OTHER INFORMATION: cDNA sequence segments of CXCR4 119 <400> SEQUENCE: 5 120 aaggaagetg ttggetgaaa a 21 123 <210> SEQ ID NO: 6 124 <211> LENGTH: 19 125 <212> TYPE: DNA 126 <213> ORGANISM: Artificial · 128 <220> FEATURE: 129 <223> OTHER INFORMATION: CXCR4 cDNA target sequence 131 <400> SEQUENCE: 6 132 taactacacc gaggaaatg 19 135 <210> SEQ ID NO: 7 136 <211> LENGTH: 19 137 <212> TYPE: DNA 138 <213> ORGANISM: Artificial

RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/550,525A

140 <220> FEATURE:

RAW SEQUENCE LISTING DATE: 10/12/2006

PATENT APPLICATION: US/10/550,525A TIME: 09:36:43

Input Set : A:\Sequence listing.ST25.txt
Output Set: N:\CRF4\10122006\J550525A.raw

141 <223> OTHER INFORMATION: CXCR4 cDNA target sequence 143 <400> SEQUENCE: 7 144 tcttcttaac tggcattgt 19 147 <210> SEQ ID NO: 8 148 <211> LENGTH: 19 149 <212> TYPE: DNA 150 <213> ORGANISM: Artificial 152 <220> FEATURE: 153 <223> OTHER INFORMATION: CXCR4 cDNA target sequences 155 <400> SEQUENCE: 8 156 tctttgccaa cgtcagtga 19 159 <210> SEQ ID NO: 9 160 <211> LENGTH: 19 161 <212> TYPE: DNA 162 <213> ORGANISM: Artificial 164 <220> FEATURE: 165 <223> OTHER INFORMATION: CXCR4 cDNA target sequences 167 <400> SEQUENCE: 9 168 gtttcagcac atcatggtt 19 171 <210> SEQ ID NO: 10 172 <211> LENGTH: 19 173 <212> TYPE: DNA 174 <213> ORGANISM: Artificial 176 <220> FEATURE: 177 <223> OTHER INFORMATION: CXCR4 cDNA target sequence 179 <400> SEQUENCE: 10 180 catcatggtt ggccttatc 19 183 <210> SEQ ID NO: 11 184 <211> LENGTH: 19 185 <212> TYPE: DNA 186 <213> ORGANISM: Artificial 188 <220> FEATURE: 189 <223> OTHER INFORMATION: CXCR4 cDNA target sequences 191 <400> SEQUENCE: 11 192 tcctgcctgg tattgtcat 19 195 <210> SEO ID NO: 12 196 <211> LENGTH: 19 197 <212> TYPE: DNA 198 <213> ORGANISM: Artificial 200 <220> FEATURE: 201 <223> OTHER INFORMATION: CXCR4 cDNA target sequences 203 <400> SEQUENCE: 12 204 tcctgtcctg ctattgcat 19 207 <210> SEQ ID NO: 13 208 <211> LENGTH: 19 209 <212> TYPE: DNA 210 <213> ORGANISM: Artificial 212 <220> FEATURE:

213 <223> OTHER INFORMATION: CXCR4 cDNA target sequences

RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/550,525A

DATE: 10/12/2006 TIME: 09:36:43

Input Set: A:\Sequence listing.ST25.txt
Output Set: N:\CRF4\10122006\J550525A.raw

215 <400> SEQUENCE: 13 19 216 gcatcgactc cttcatcct 219 <210> SEQ ID NO: 14 220 <211> LENGTH: 19 221 <212> TYPE: DNA 222 <213> ORGANISM: Artificial 224 <220> FEATURE: 225 <223> OTHER INFORMATION: CXCR4 cDNA target sequences 227 <400> SEQUENCE: 14 228 ggaaagcgag gtggacatt 19 231 <210> SEQ ID NO: 15 232 <211> LENGTH: 25) What is its' source? 233 <212> TYPE: DNA 234 <213> ORGANISM: Artificial 236 <220> FEATURE: 237 <223> OTHER INFORMATION: siRNA 239 <400> SEQUENCE: 15 240 aauaaaaucu uccugcccac cdtdt 25 243 <210> SEQ ID NO: 16 244 <211> LENGTH: 25 245 <212> TYPE: DNA 246 <213> ORGANISM: Artificial 248 <220> FEATURE: 249 <223> OTHER INFORMATION siRNA 251 <400> SEQUENCE: 16 252 aaggaagcug uuggcugaaa adtdt 25 255 <210> SEQ ID NO: 17 256 <211> LENGTH: 20 257 <212> TYPE: DNA 258 <213> ORGANISM: Artificial 260 <220> FEATURE: 261 <223> OTHER INFORMATION: CXCR4-specific primers 263 <400> SEQUENCE: 17 264 gaaccctgtt tccgtgaaga 20 267 <210> SEQ ID NO: 18 268 <211> LENGTH: 20 269 <212> TYPE: DNA 270 <213> ORGANISM: Artificial 272 <220> FEATURE: 273 <223> OTHER INFORMATION: CXCR4-specific primers 275 <400> SEQUENCE: 18 276 cttgtccgtc atgcttctca 20 279 <210> SEQ ID NO: 19 280 <211> LENGTH: 20 281 <212> TYPE: DNA 282 <213> ORGANISM: Artificial 284 <220> FEATURE: 285 <223> OTHER INFORMATION: primer 287 <400> SEQUENCE: 19

RAW SEQUENCE LISTING DATE: 10/12/2006
PATENT APPLICATION: US/10/550,525A TIME: 09:36:43

Input Set : A:\Sequence listing.ST25.txt
Output Set: N:\CRF4\10122006\J550525A.raw

288	gacaggatgc agaaggagat	20
291	<210> SEQ ID NO: 20	
292	<211> LENGTH: 20	
293	<212> TYPE: DNA	
294	<213> ORGANISM: Artificial	
296	<220> FEATURE:	
297	<223> OTHER INFORMATION: primer	
299	<400> SEQUENCE: 20	
300	tgcttgctga tccacatctg	20

RAW SEQUENCE LISTING ERROR SUMMARY PATENT APPLICATION: US/10/550,525A

DATE: 10/12/2006 TIME: 09:36:44

FYI

Input Set : A:\Sequence listing.ST25.txt
Output Set: N:\CRF4\10122006\J550525A.raw

Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Seq#:1; Xaa Pos. 3,8,12 / Seq#:2; Xaa Pos. (3,8,8)12

Invalid <213> Response:

Use of "Artificial" only as "213 Organism" response is incomplete, per 1.823(b) of New Sequence Rules Valid response is Artificial Sequence.

Seq#:1,2,3,4,5,6,7,8,9,10,11\12,12\14,15,16,17,18,19,20

VERIFICATION SUMMARY

PATENT APPLICATION: US/10/550,525A TIME

DATE: 10/12/2006 TIME: 09:36:44

Input Set : A:\Sequence listing.ST25.txt
Output Set: N:\CRF4\10122006\J550525A.raw

L:9 M:270 C: Current Application Number differs, Replaced Current Application Number

L:10 M:271 C: Current Filing Date differs, Replaced Current Filing Date

L:42 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:1 after pos.:0 L:77 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:2 after pos.:0